

# Wind Speed and Significant Wave Height under Hurricane Irma derived from Sentinel-1 and RADARSAT-2 SAR data

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AGU, Dec, 13, 2017  
New Orleans

Knowledge for Tomorrow



# Introduction

- X-band (TerraSAR-X) and C-band (Sentinel-1, RadarSAT-2) SAR Images are used to image the Sea Surface under 2017 Hurricanes Irma and Jose
- Wind Speed and Sea State Parameters are derived using empirical algorithms
- Wind Speed measurements from the radar polarizations HH and VV saturate at low 20 -30 m/sec depending on range, one can use HV channel to overcome this problem
- Determine Significant Wave Height using empirical algorithms
- Use X-band Data to analyze rain effects
- Sentinel data can be downloaded for free on ESAs website, TerraSAR-X available by science AO





120° 115° 110° 105° 100° 95° 90° 85° 80° 75° 70° 65° 60° 55° 50° 45° 40° 35° 30° 25° 20° 15° 10° 5° 0° 5° 10°

**2017**

NUMBER	TYPE	NAME	DATE
1	TS	ARLENE*	APR 19-21
2	TS	BRET	JUN 19-20
3	TS	CINDY	JUN 20-23
4	TS	DON	JUL 17-18
5	TS	EMILY	JUL 31-AUG 1
6	H	FRANKLIN	AUG 6-10
7	H	GERT	AUG 13-17
8	MH	HARVEY	AUG 17-SEP 1
9	MH	IRMA	AUG 30-SEP 12
10	MH	JOSE	SEP 5-22
11	H	KATIA*	SEP 5-9
12	MH	LEE	SEP 15-30
13	MH	MARIA	SEP 16-30
14	H	NATE	OCT 4-9
15	MH	OPHELIA	OCT 9-15
16	TS	PHILIPPE	OCT 28-29
17	TS	RINA	NOV 6-9

\* Denotes post-storm analysis is complete

*Preliminary*

SAR Imagery from S-1, R2, TSX-1

Landfall, S-1, TS-X

Eye, S-1

Eye, R-2

Eye, Jose, S-1

LAMBERT CONFORMAL CONIC PROJECTION  
STANDARD PARALLELS AT 30° AND 60°  
SCALE OF NAUTICAL MILES  
0 250 500

U.S. DEPARTMENT OF COMMERCE, NATIONAL WEATHER SERVICE  
NORTH ATLANTIC HURRICANE TRACKING CHART

- Major Hurricane
- Hurricane
- Tropical Storm
- Tropical Depression
- Subtropical Storm
- Subtropical Depression
- Wave/Low
- Extratropical Storm
- Position at 0000 UTC
- Position/date at 1200 UTC
- # Storm Number



20kmx20km

In 2017 several SAR images of hurricane  
acquired by ESA's project SHOC

Dominican Republic  
2017-09-07 10:30

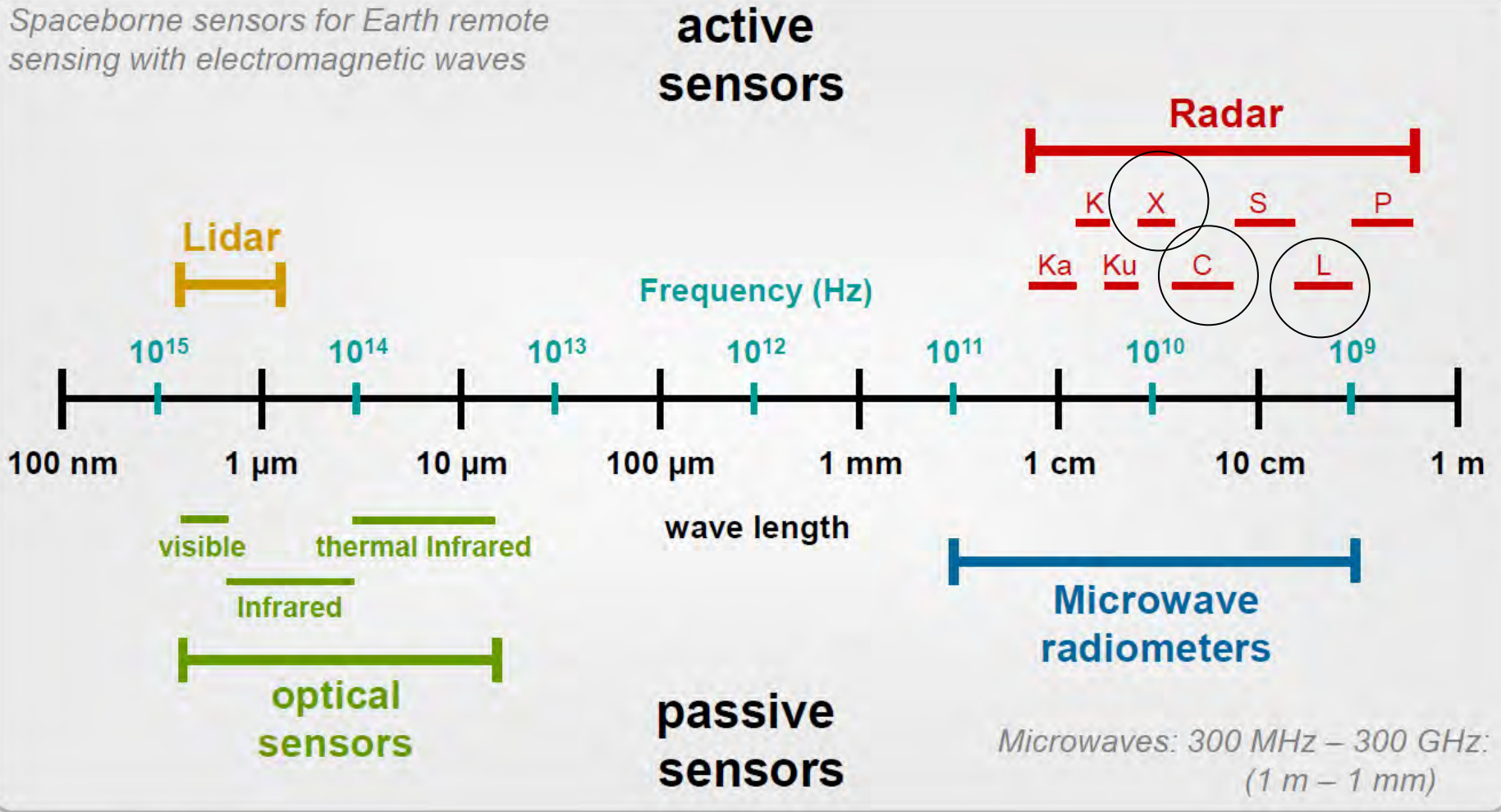
SENTINEL-1 VV

**Hurricane Irma from SAR**



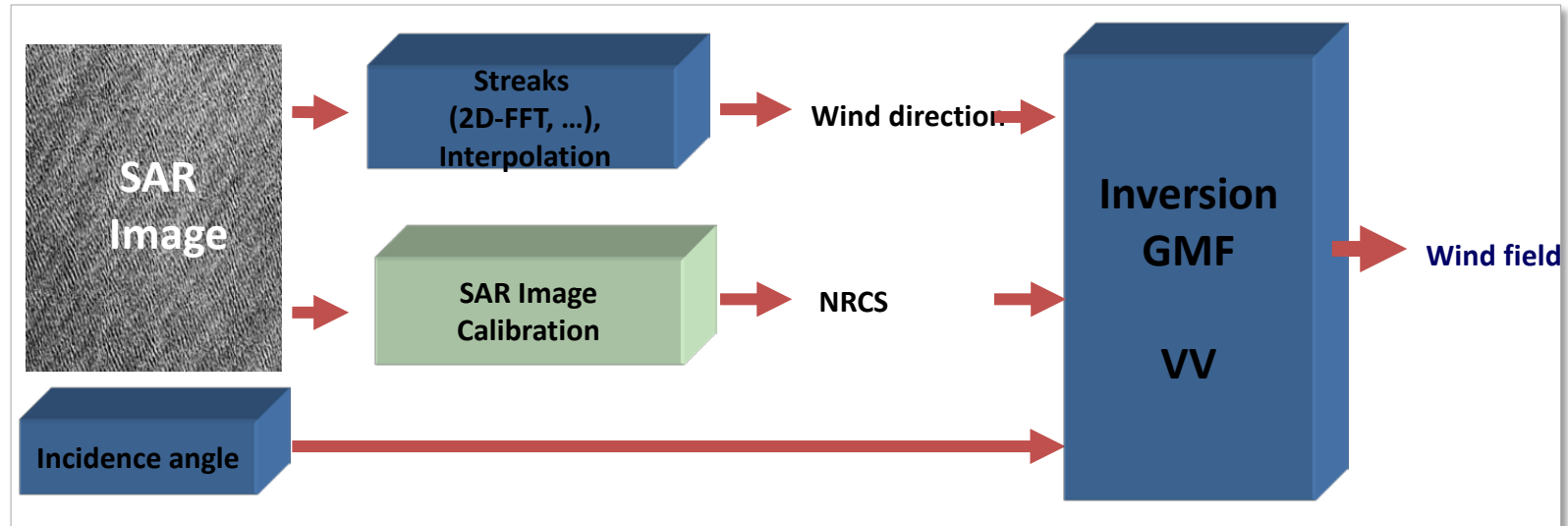
# Radar and Optical Sensors

*Spaceborne sensors for Earth remote sensing with electromagnetic waves*





# SAR Sea Surface Wind Retrieval from Co Polarization (VV, HH)



Geophysical Model Function (GMF):  $\sigma_0 = B_0(v, \theta)(1 + B_1(v, \theta)\cos\phi + B_2(v, \theta)\cos 2\phi)$

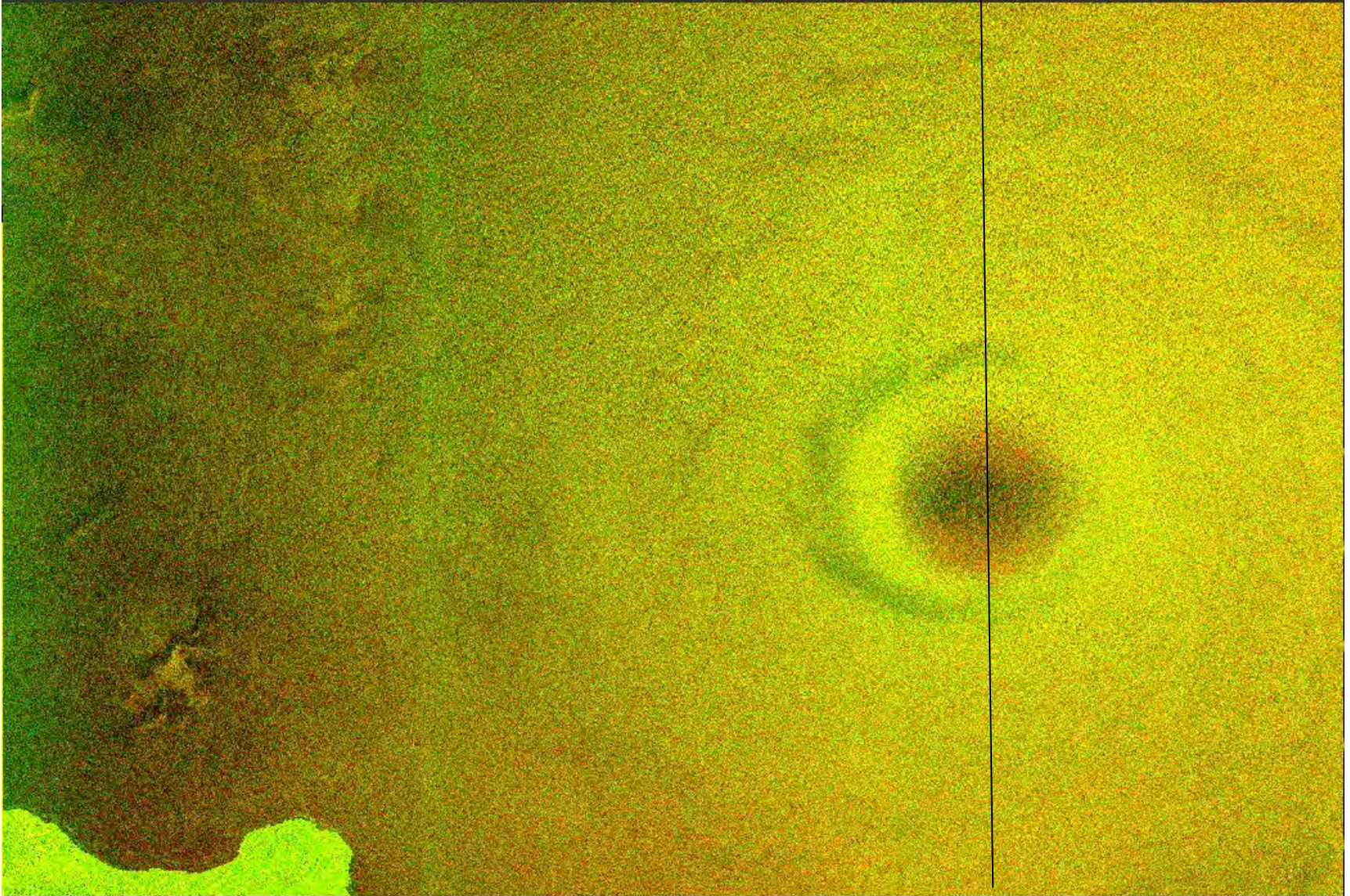
Polarization Ratio  $\sigma_{\text{HH}}/\sigma_{\text{VV}}$  exponential

Radar band	GMF	Spaceborne SAR Sensors
C-band (5.6GHz)	CMOD4, CMOD5/5N	ERS/SAR, ENVISAT/ASAR, RADARSAT-1/2
L-band (1.3GHz)	LMOD1/2	JERS-1, ALOS PALSAR-1/2
X-band (9.6GHz)	<b>XMOD</b>	TerraSAR-X/TanDEM-X, Cosmo-SkyMed





# Sentinel-1, Combination of Channels Sigma 0 , **VV**, **VH**

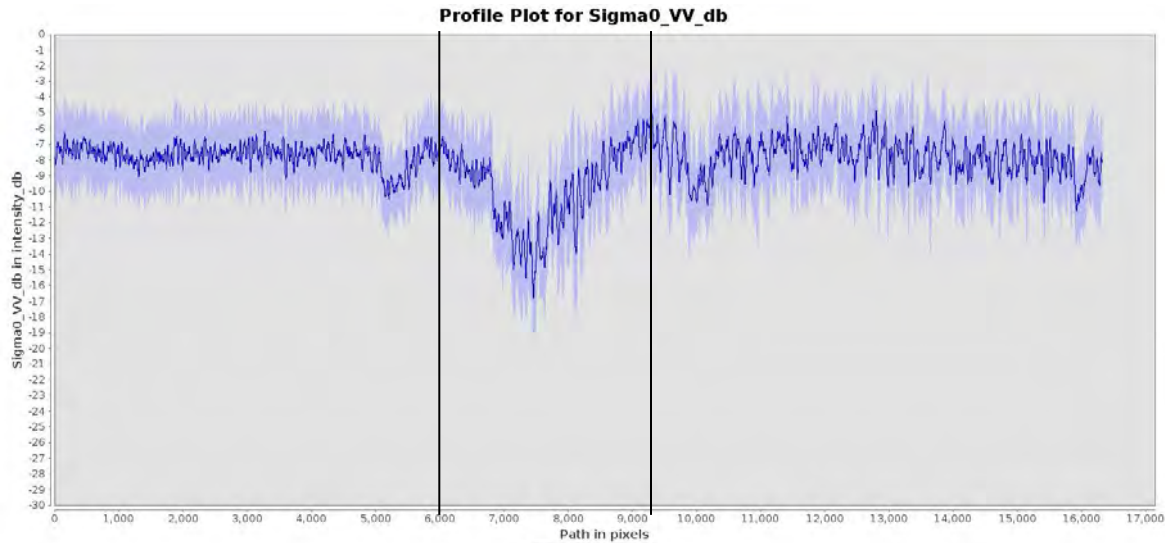


4 17-09-07 10:30 UTC Hurricane IRMA

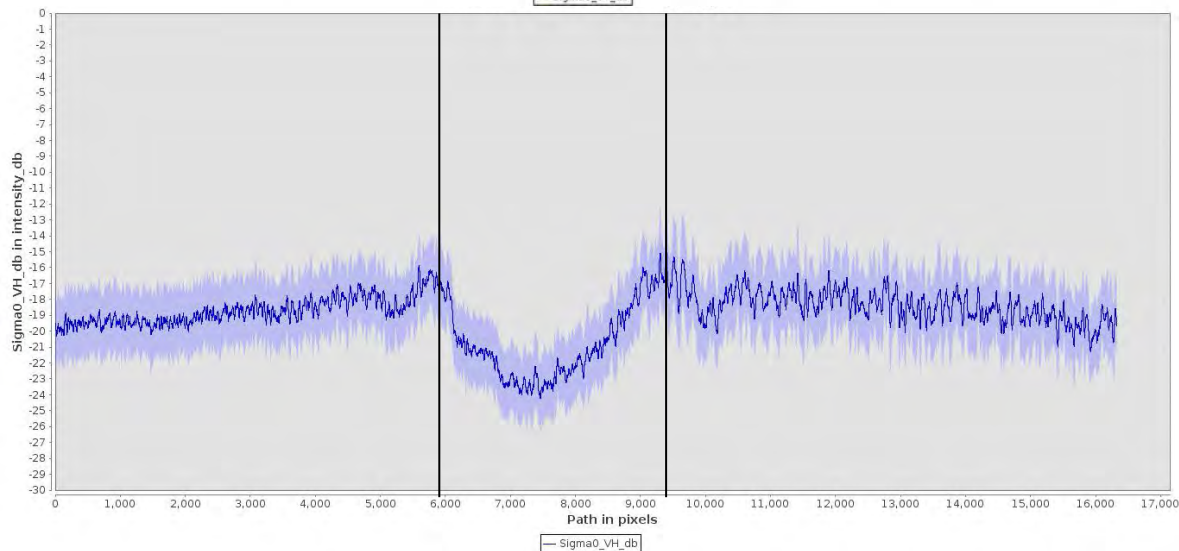


# Cut through the eye Sigma<sub>0</sub> at constant range

Saturates  
at -7dB



VV

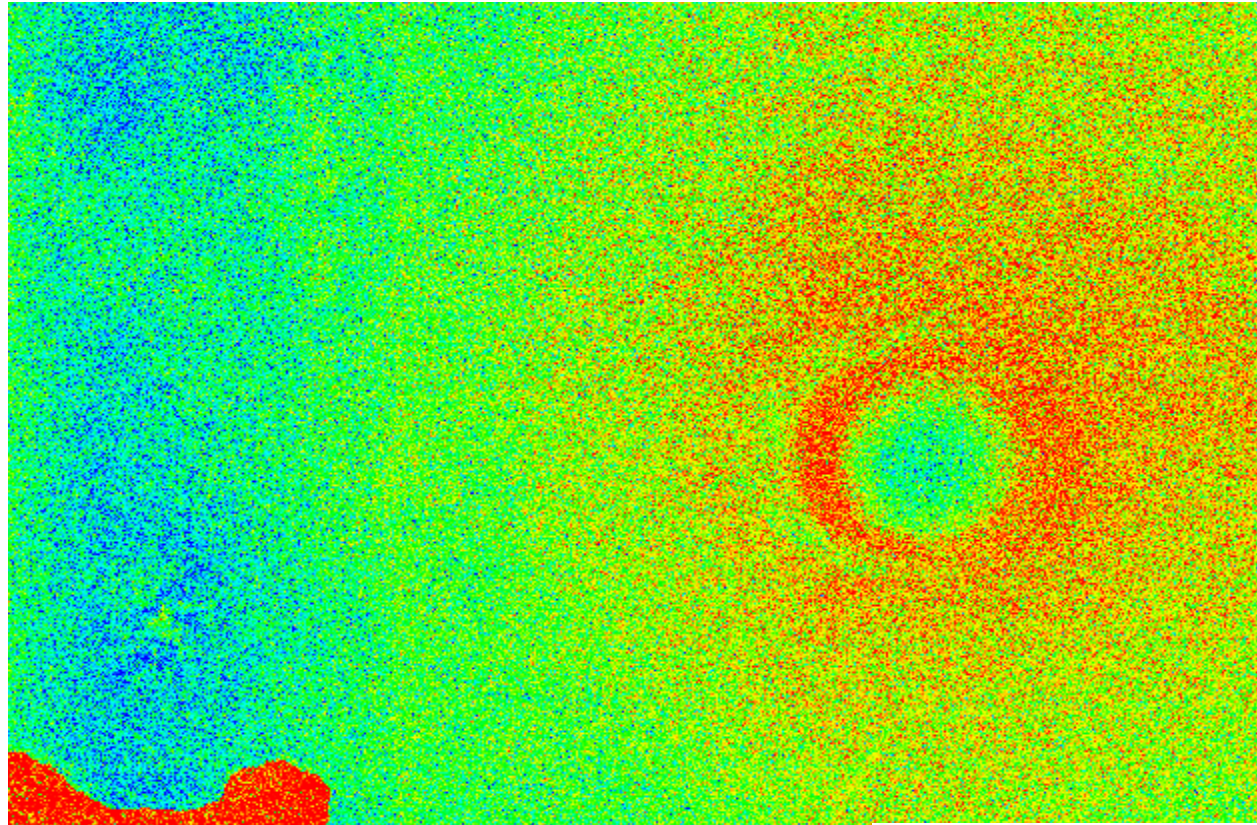


VH

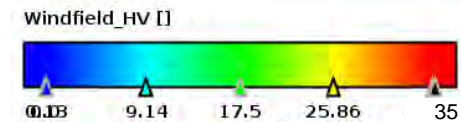




# Wind Speed $U_{10}$ derived from VH using empirical Algorithm



C2-PO  
 $\text{Sigma } 0 = 0.586 \text{ u}_{10} + 35$



After, Zhang, Perrie et al, 2017



Google Earth

© 2017 Google

US Dept of State Geographer

Data SIO, NOAA, U.S. Navy, NGA, GEBCO

Image Landsat / Copernicus

Sentinel-1 VV/VH Images analyzed

9.9. 23:33:VV

11.9.VV

9.9.VV

R-2, 8.9, 10:53

7.9. 10:30 VH

10.9. 23:25VV

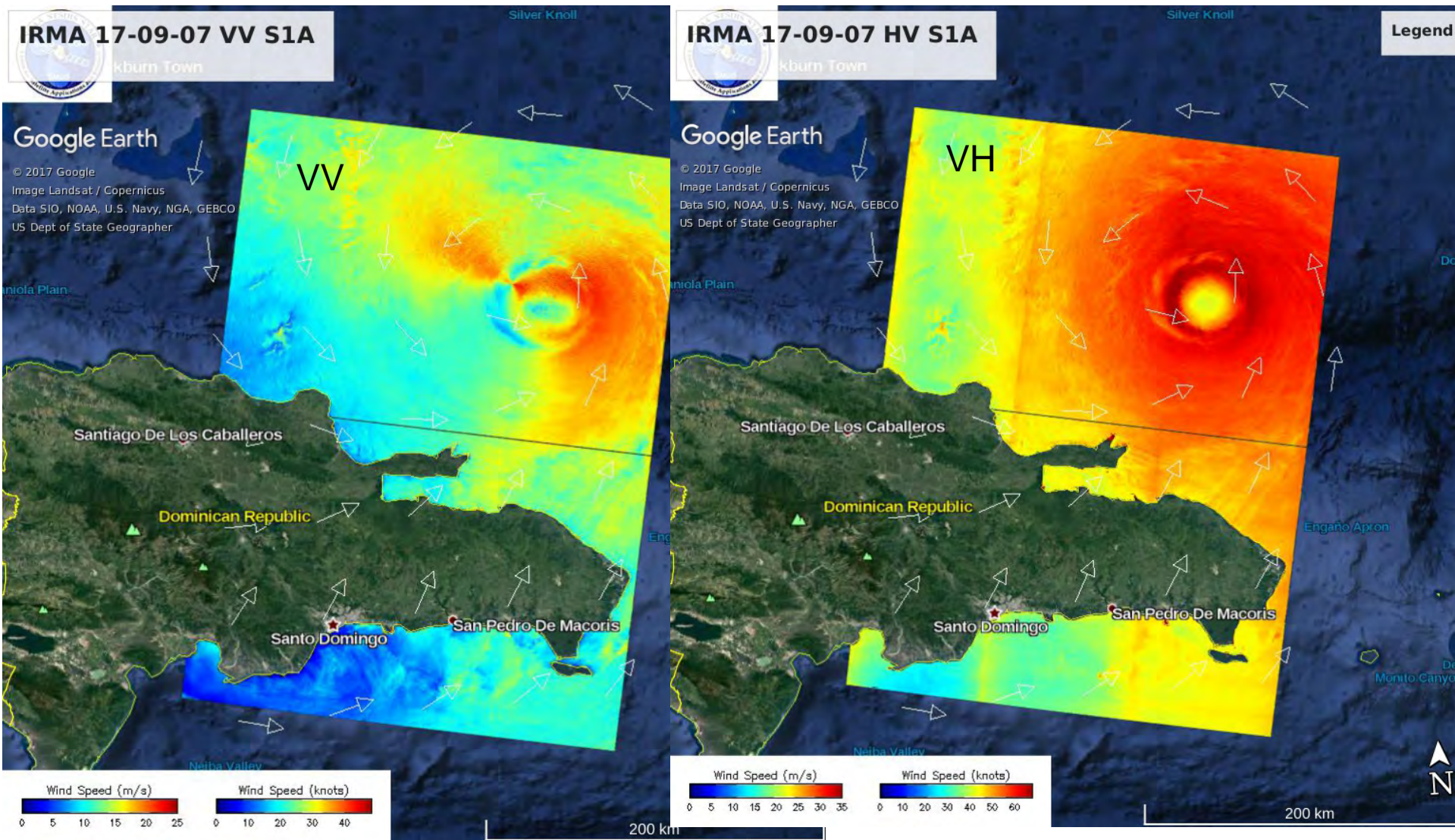
Jose, 11.9. VH

© NIC, SAR WIND, F. Monaldo





# Irma, $u_{10}$ from VV and VH Channel

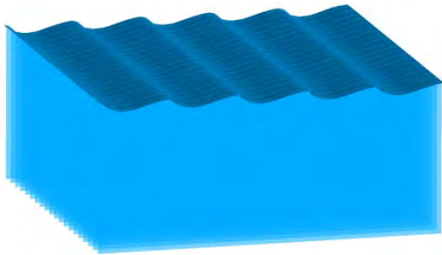


From NIC SAR WIND

# CFD simulation of the air-sea interface

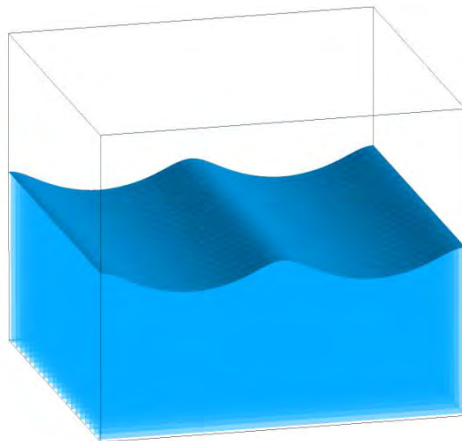
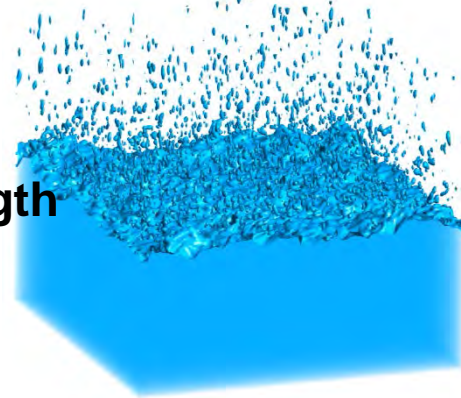
$$U_{10} \sim 80 \text{ ms}^{-1}$$

$t = 0 \text{ s}$

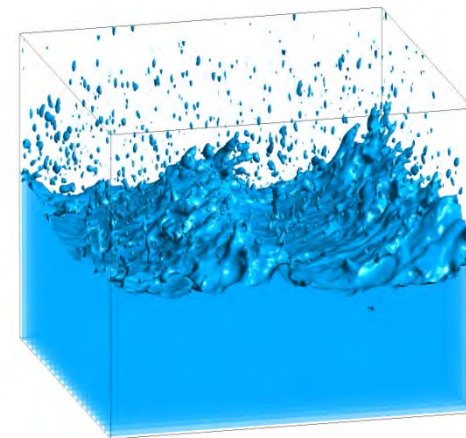


4 cm wavelength

$t = 0.3 \text{ s}$



10 cm  
wavelength

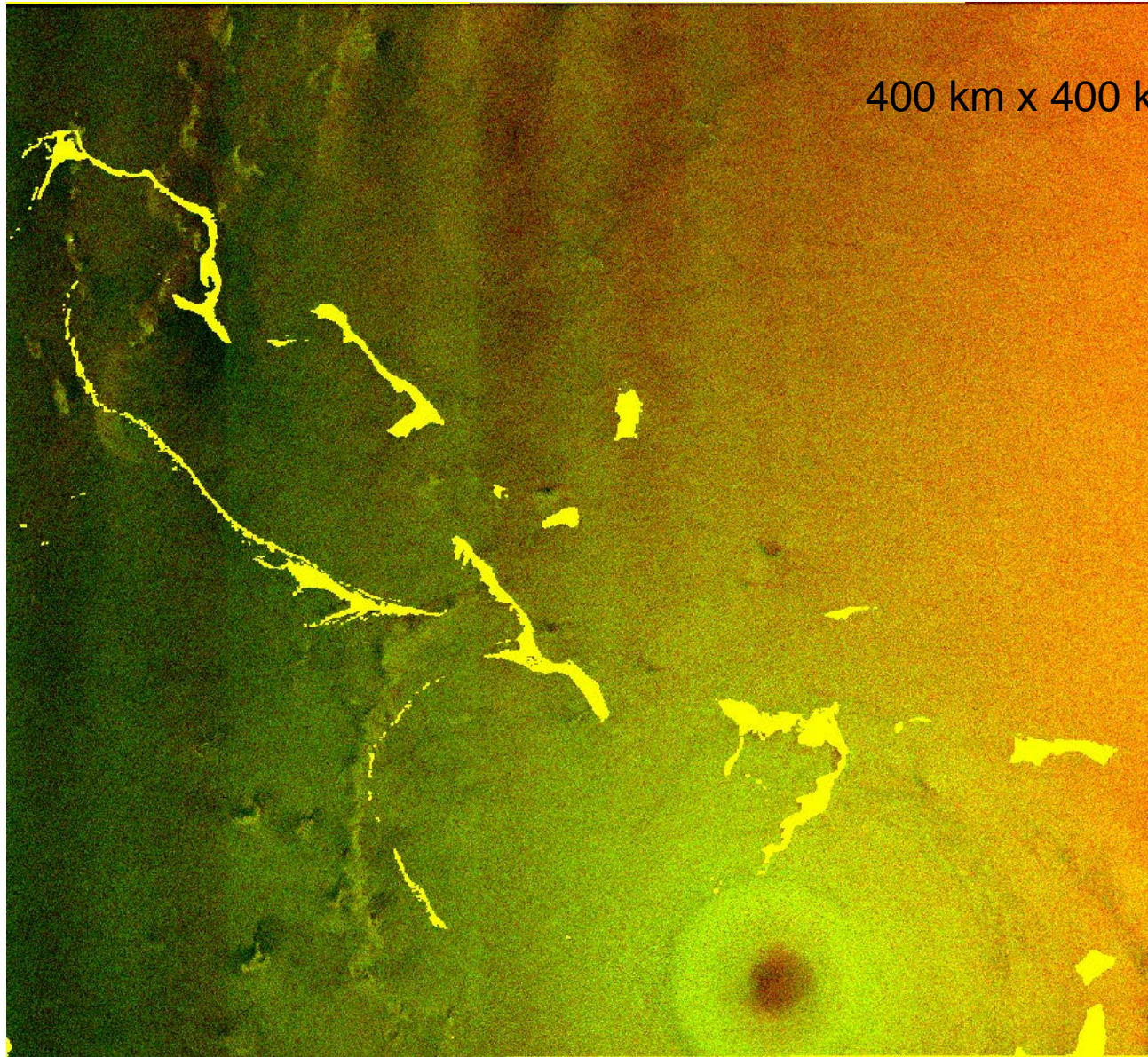


After Soloviev, Lukas, Donelan, Haus, and Ginis (2017)





# RADARSAT Combination **VV** and **VH**



400 km x 400 km

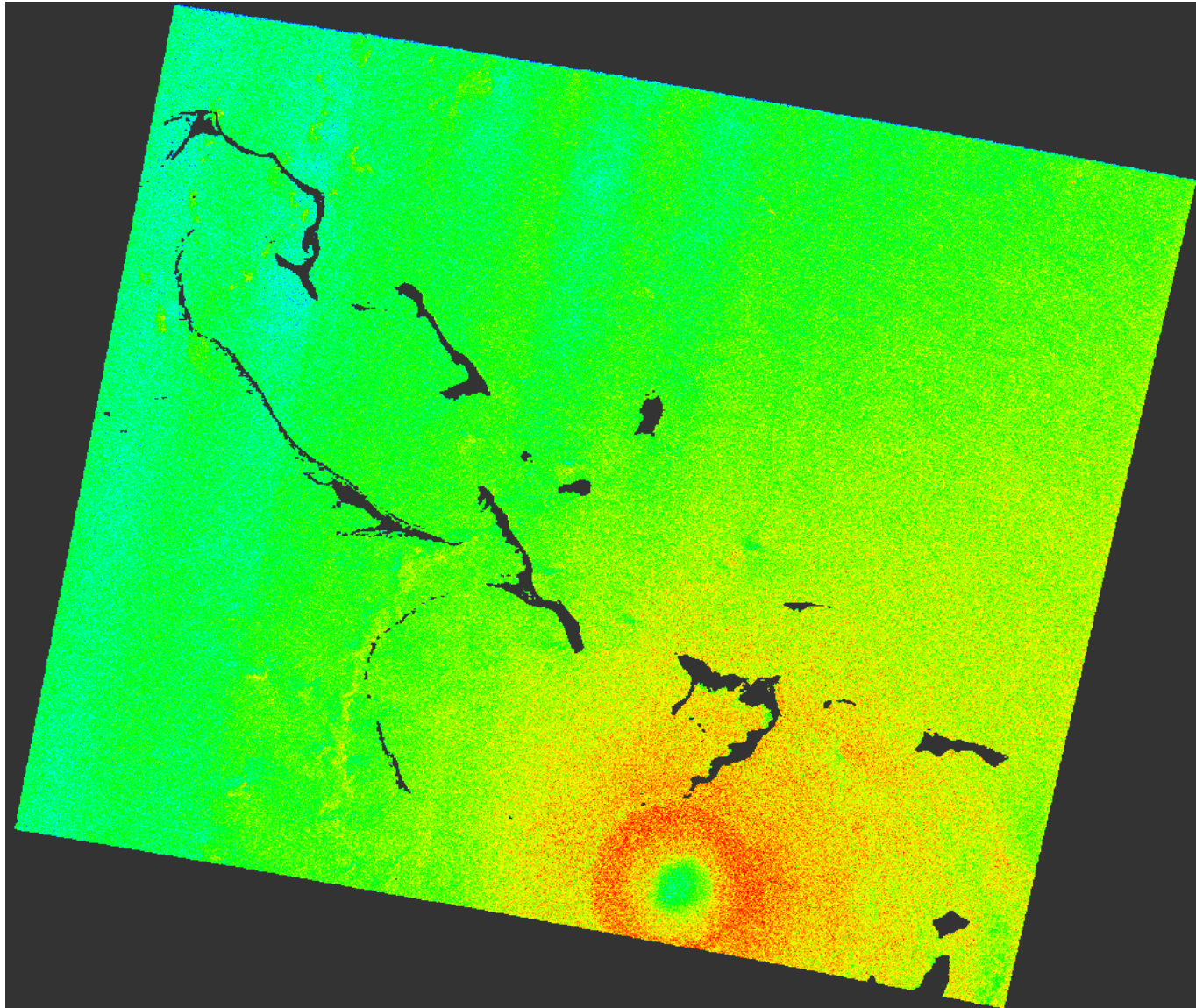
8 Sep 2017  
10 53 UTC  
HV, ground range  
© MDA

Using  
ESA SNAP



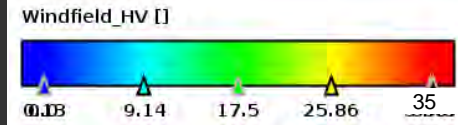


# Windfield from RADASAT-2 Image of IRMA



8 Sep 2017  
10 53 UTC  
HV, ground range  
© MDA

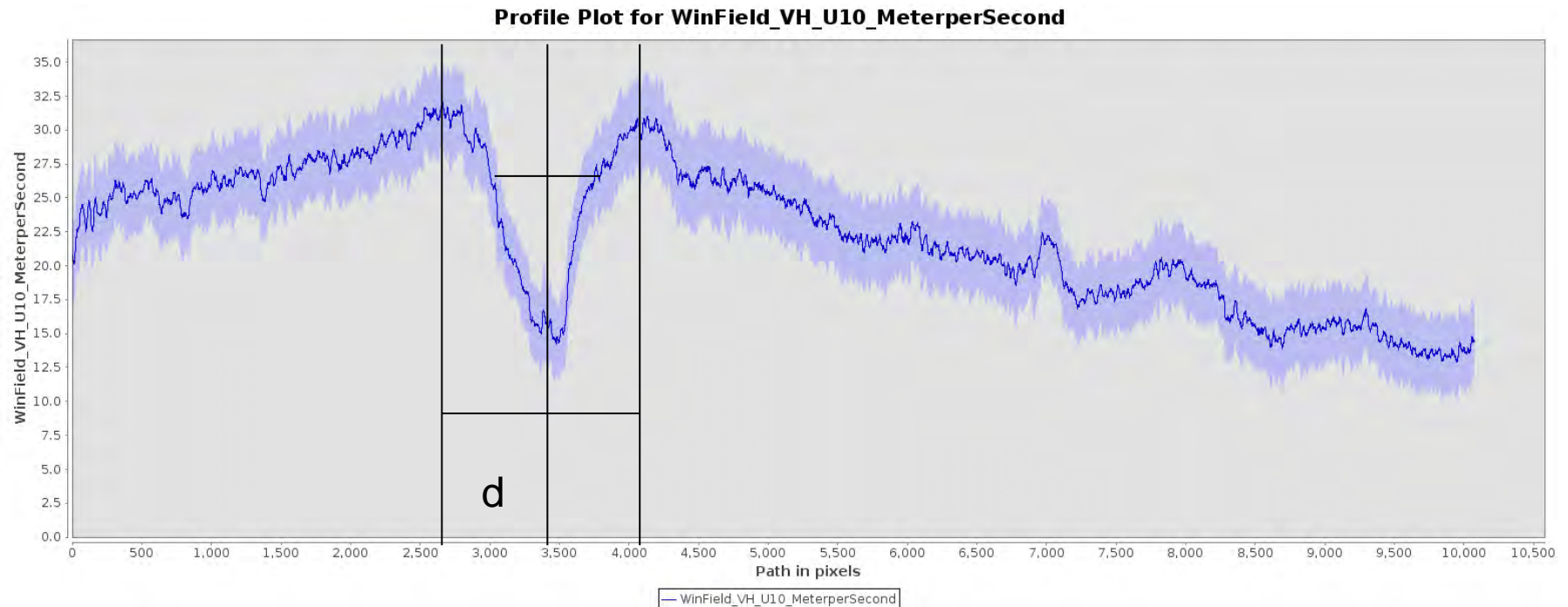
Using ESA SNAP





# Wind Speed Measurement $u_{10}$ through the Eye with R2

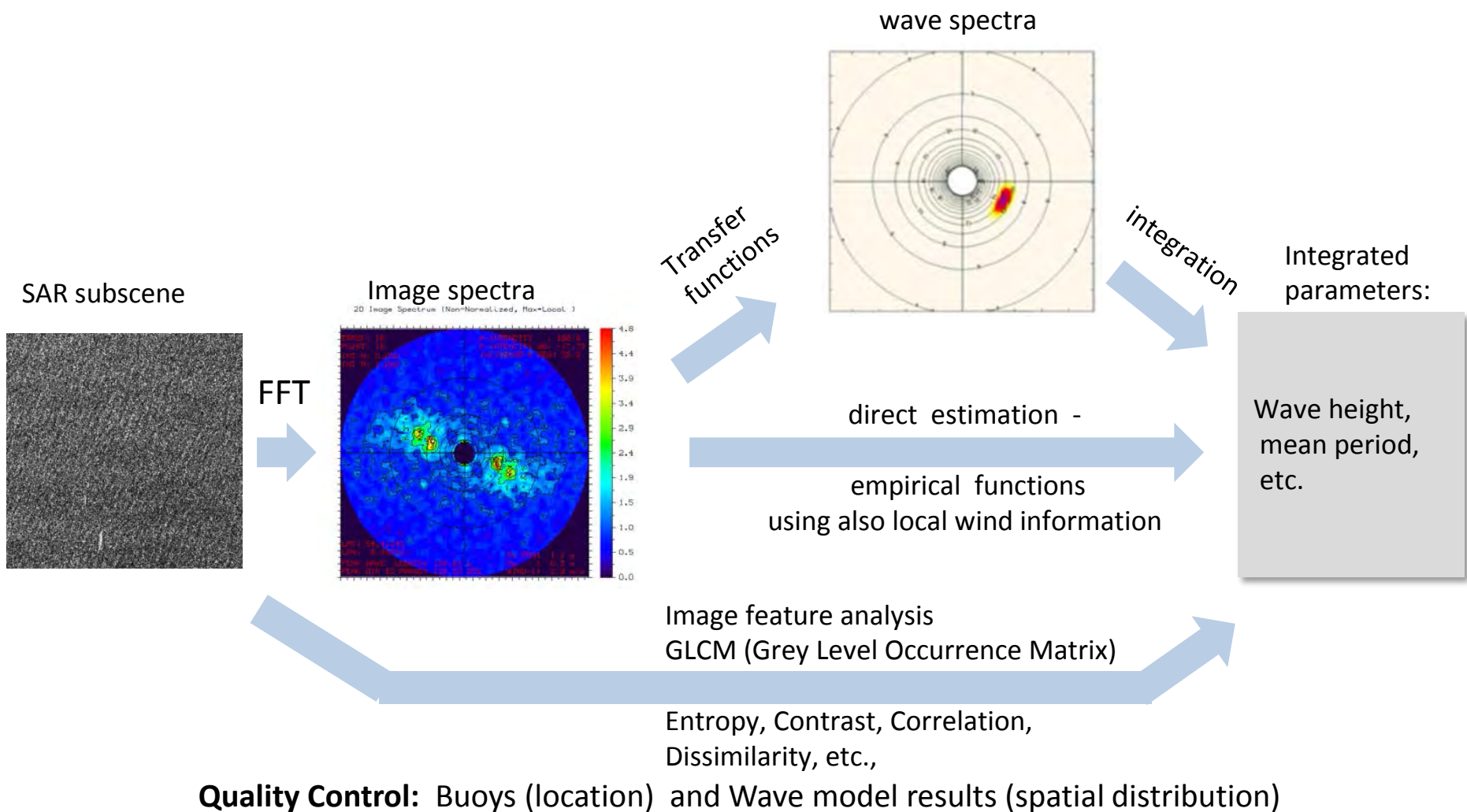
- Maximum Wind Speed ~ 35 m/sec
- Radius of Maximum Wind Speed



Pixel 50m, Diameter d 70 km, Eyesize 35 km



# Basic principles of sea state parameter estimation



sea state estimation from SAR image



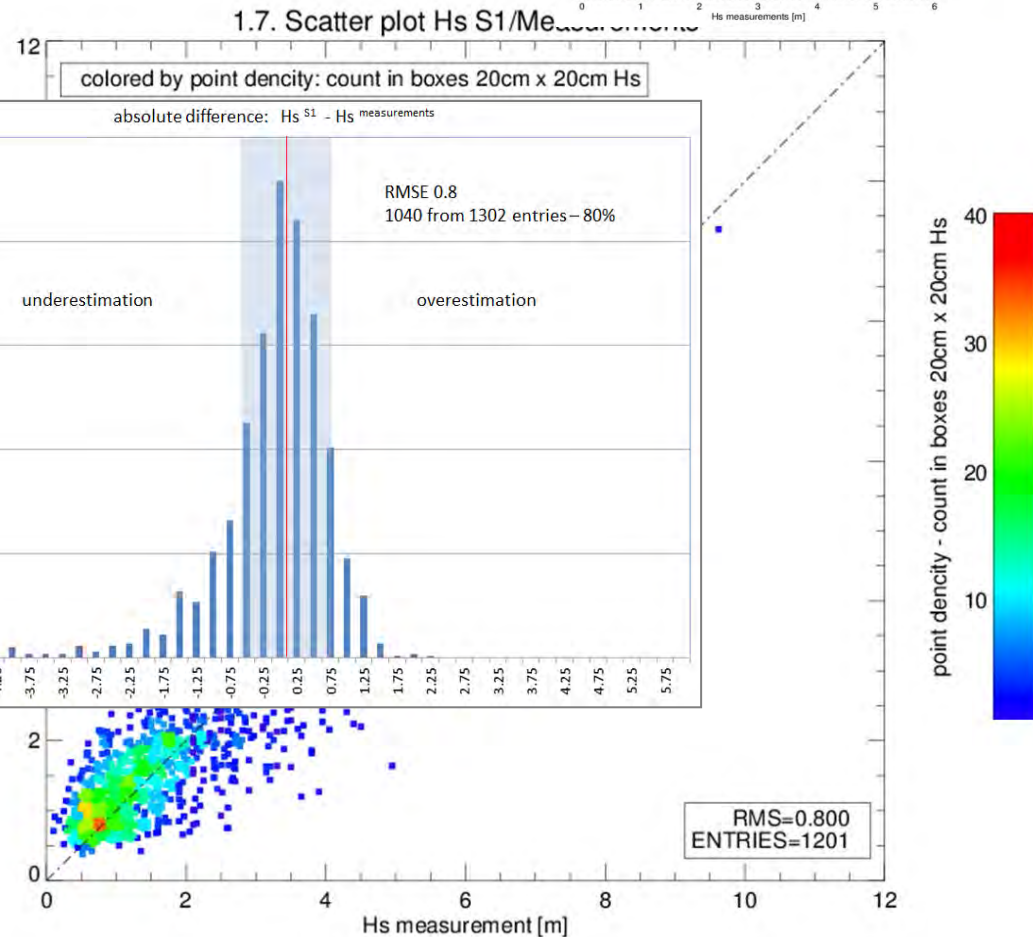
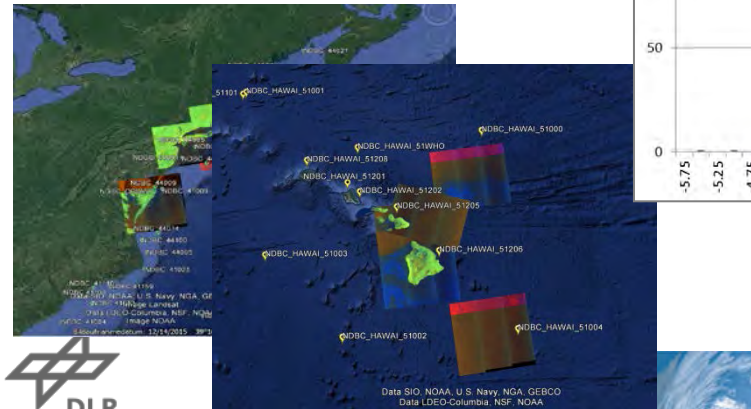
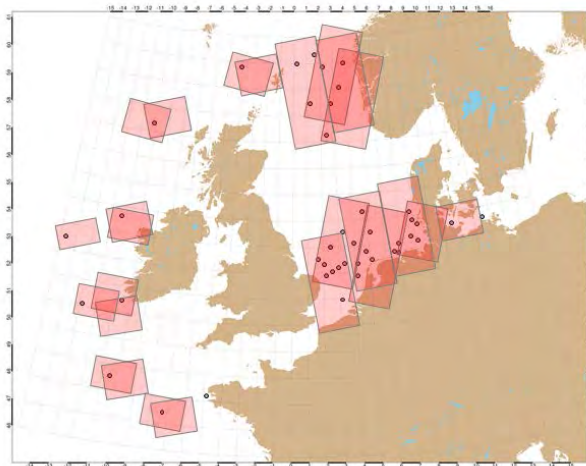
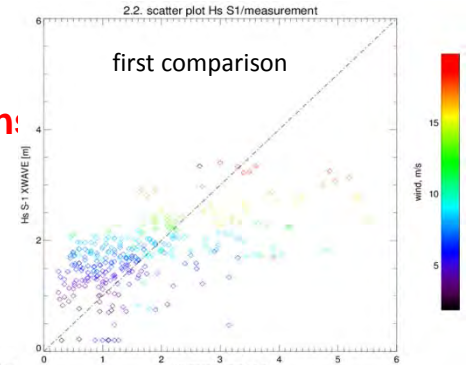
# Validation Empirical S-1 Significant Wave Height Algorithm

Pleskachvsky et al., 2017

**EAST ATLANTICS & North Sea ~300 IMAGES ~ ~40 buoys ~900 collocation:**

**USA EAST COAST ~300 IMAGES ~ 15 buoys ~400 collocations**

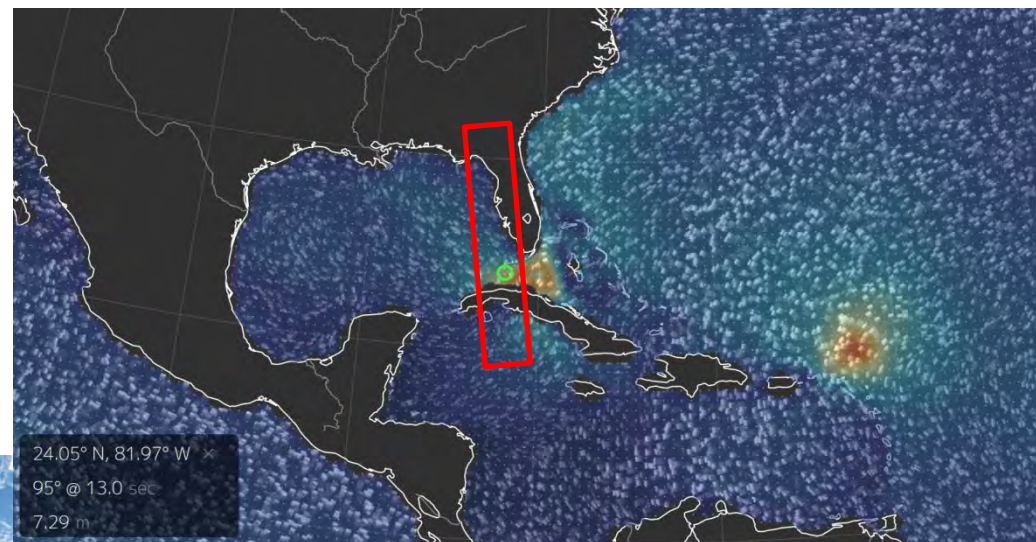
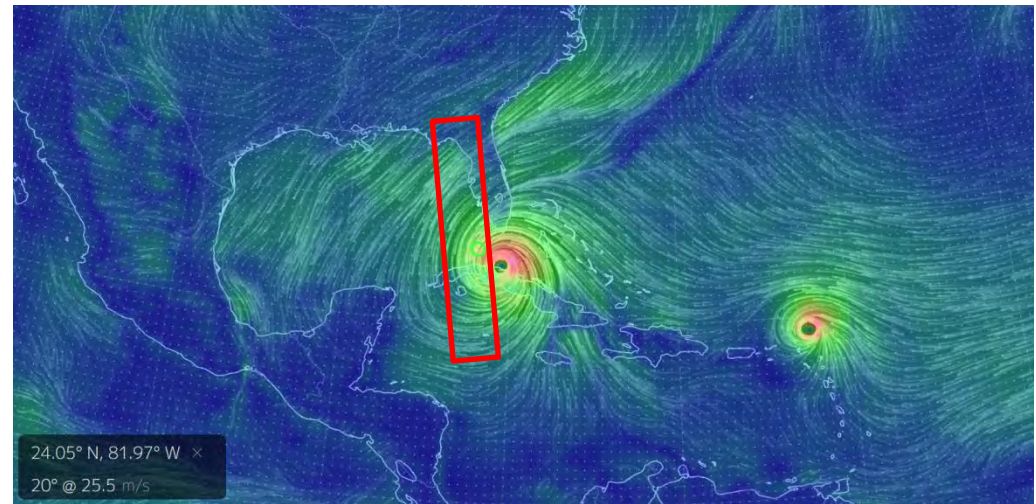
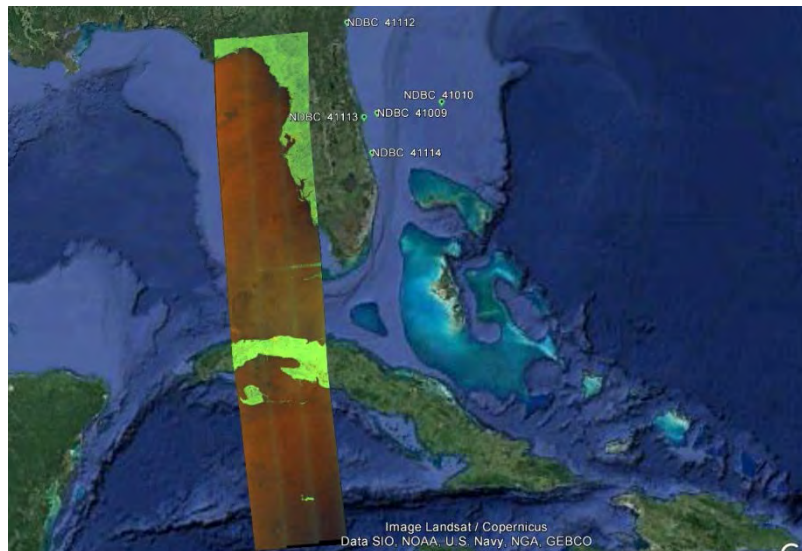
**USA HAWAI 49 IMAGES 4 buoys ~60 collocations**





# Sentinel Images from this Year's Hurricane Season

## Example Sep, 9th



[S1-B](#)

2017-09-09 23:33:28

2017-09-09 23:33:57

2017-09-09 23:34:22

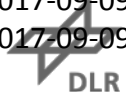
2017-09-09 23:34:47

2017-09-09 23:35:12

2017-09-09 23:35:37

2017-09-09 23:36:02

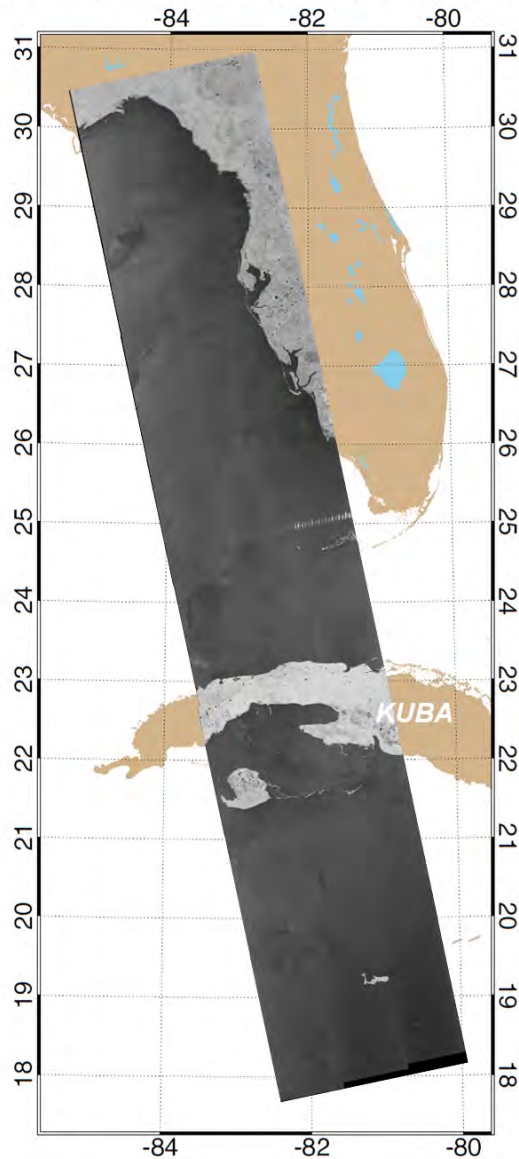
2017-09-09 23:36:27



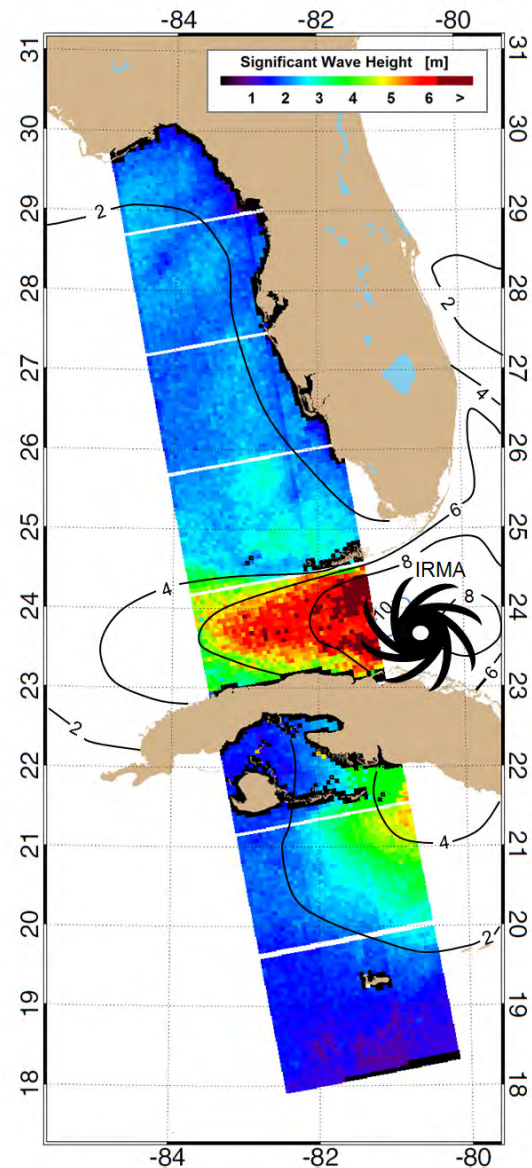


# Significant Wave Height under Hurricane IRMA

SENTINEL S-1 IW VV 2017-09-09 23:33 UTC

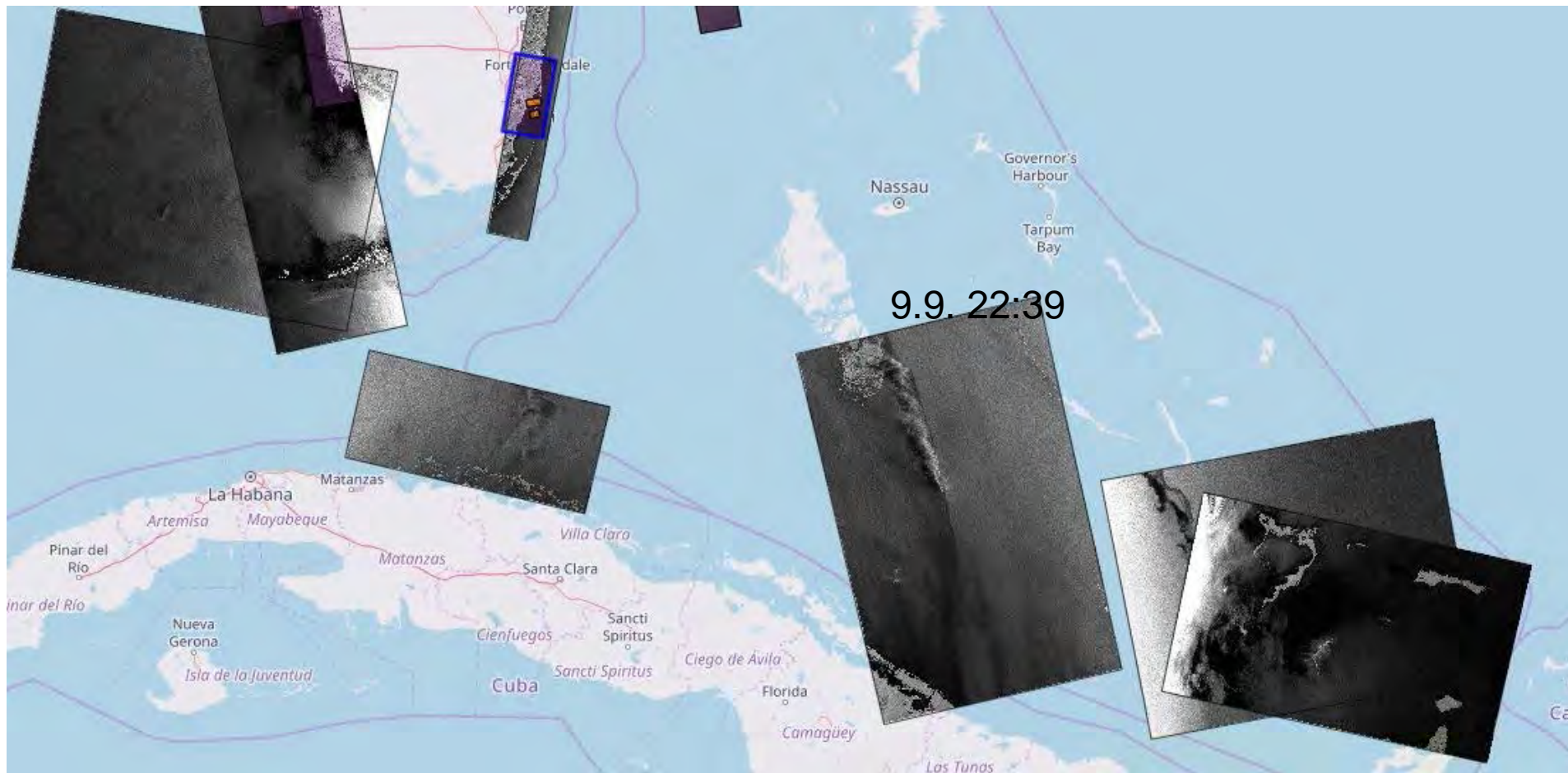


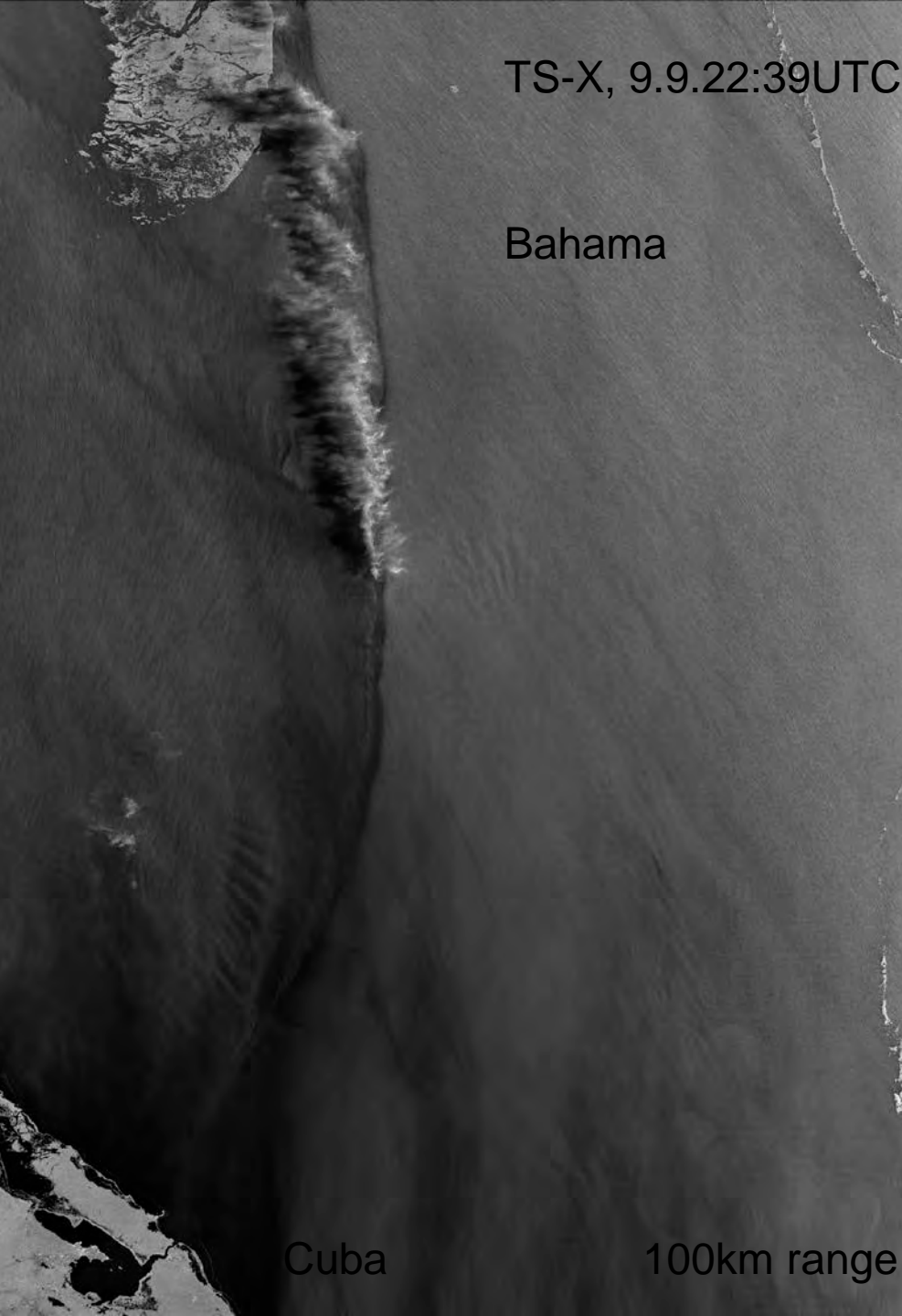
TOTAL SIGNIFICANT WAVE HEIGHT





# Images from TerrasAR-X over IRMA







# Summary

- We derived Wind Speed from HV channel and Significant wave height using empirical algorithms
- HH, VV Wind Speed measurements saturate at low 20 -30 m/sec depending on range
- HV channel can be used to overcome this problem
- Eye shape different in C- band VV to VH channel
- Sentinel-1 data can be downloaded for free on ESAs website
- X-band data show strong rain and sea surface features
- L band better at measuring in heavy rain and high sea state (ALOS, NISAR, TD-L)



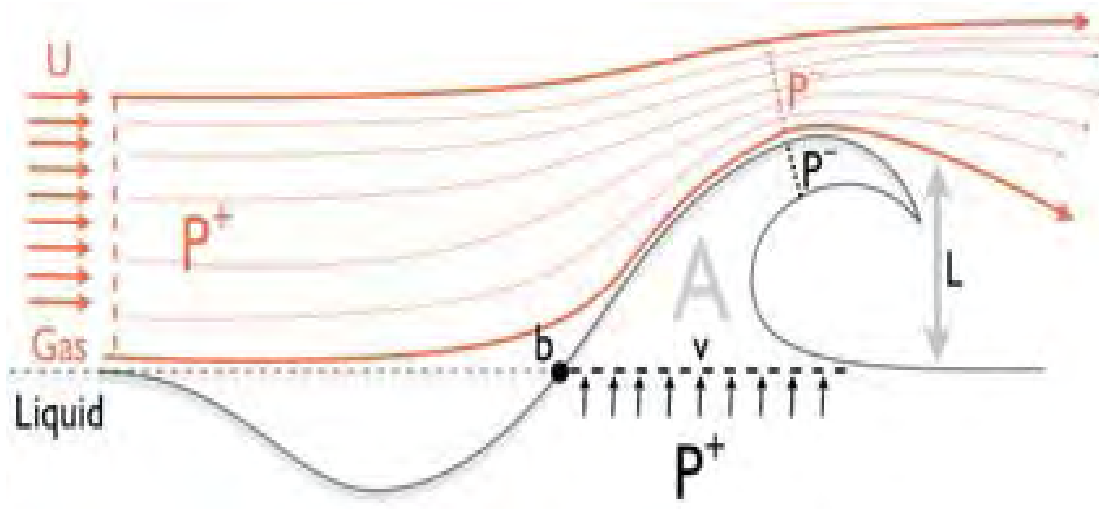
## Backup Slides:





# Air-Sea Interface under Tropical Cyclones

- The disruption of the air-sea interface and spume generation under tropical cyclone conditions can be explained by the Kelvin-Helmholtz type shear-layer instability (Koga 1981).
- This instability is able to overcome the stabilizing force of gravity force and surface tension at the air-water interface above  $\sim 30$  m/s wind speed, corresponding to transition to Category 1 hurricane (Soloviev and Lukas 2010, Hoepffner et al. 2011).



# Empirical Geophysical Model Function for Co-Polarization Sigma-0 VV->HH

## C-band GMF for Co-Polarized SAR (HH)

The CMOD functions are applicable for radar NRCS acquired in VV polarizations. To apply the CMOD functions for NRCS in HH polarizations, **conversion of the  $\sigma_0^{HH}$  to  $\sigma_0^{VV}$  using the empirical Polarization Ratio (PR) Model** priori to apply the CMOD GMF is often considered.

**a) Three PR models which consider influence of the incidence angle on PR are often used.**

**Thompson model**

$$PR = \frac{\sigma_{VV}^0}{\sigma_{HH}^0} = \frac{(1 + 2 \tan^2 \theta)^2}{(1 + \alpha \tan^2 \theta)^2}$$

in which,  $\theta$  is incidence angle. Thompson *et al.* (1998) proposed  $\alpha=0.6$ . Both Vachon and Dobson (2000) and Horstmann *et al.* (2000) concluded that  $\alpha=1$  is suitable for the C-band RADARSAT-1 data.

**Elfouhaily model**

$$PR = \frac{\sigma_{VV}^0}{\sigma_{HH}^0} = \frac{(1 + 2 \tan^2 \theta)^2}{(1 + \beta \sin^2 \theta)^2}$$

$\beta = 2$  as proposed in [Elfouhaily and Thompson 1999]

**Mouche model**

$$PR = \frac{\sigma_{VV}^0}{\sigma_{HH}^0} = C_0 \exp(C_1 \theta) + C_2$$

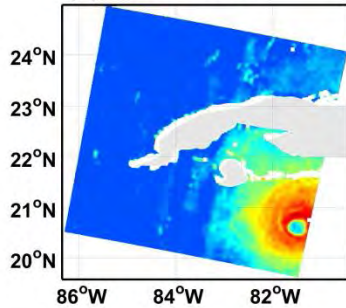
$C_0 = 0.0065, C_1 = 0.1289, C_2 = 0.9928$  as proposed in [Mouch *et al.*, 2005]

**b) In addition, influence of wind speed and sea state on PR are also considered**  
[Mouche *et al.*, 2005; Zhang *et al.*, 2011].

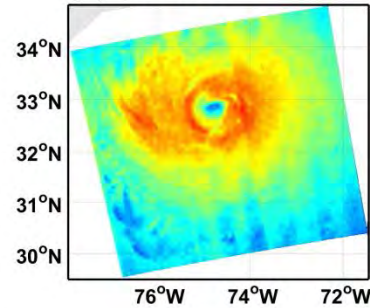


# U10 from HV Model Results

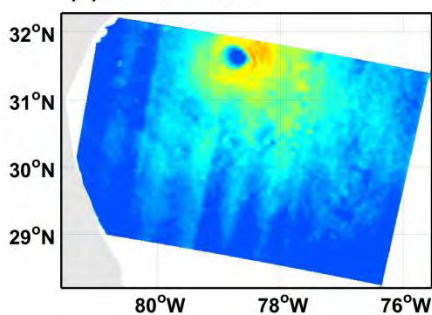
(a) Gustav 2008



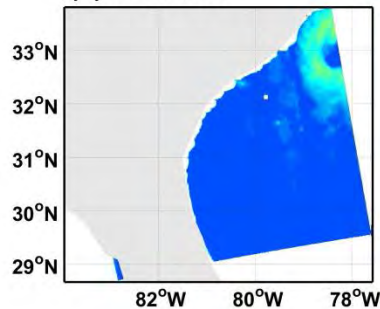
(b) Earl 2010



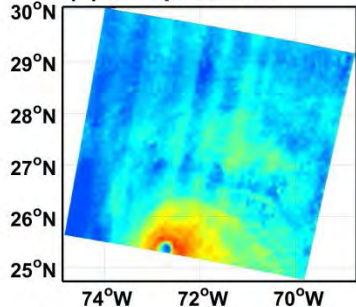
(c) Arthur 2014



(d) Ana 2015



(e) Joaquin 2015



## • C-3PO

C-band Cross-Polarization Coupled-Parameters Ocean (C-3PO) model:  $\sigma_0 = A(U_{10}) \cdot [1 + b_1(\theta)]$

$$b_1(\theta) = n_b * \frac{\theta - \theta_{middle}}{\theta_{middle}}$$

linear:  $A(U_{10}) = p_1 \cdot U_{10} + p_0$

quadratic:  $A(U_{10}) = p_2 \cdot U_{10}^2 + p_1 \cdot U_{10} + p_0$

Hurricane surface wind speed retrieved by C-3PO model for the 5 SAR images for:

- a) Hurricane Gustav (11:28 UTC, 30 August 2008),
- b) Hurricane Earl (22:59 UTC, 2 September 2010)
- c) Hurricane Arthur (11:14 UTC, 3 July 2014),
- d) Hurricane Ana (23:24 UTC, 9 May 2015),
- e) Hurricane Joaquin (10:45 UTC, 3 October 2015).

Respective SFMR tracks within a 30 minutes window are also shown.

- [blue](#) curves represent the SFMR tracks
- [cyan](#) points are the positions with respect to the Best Track.

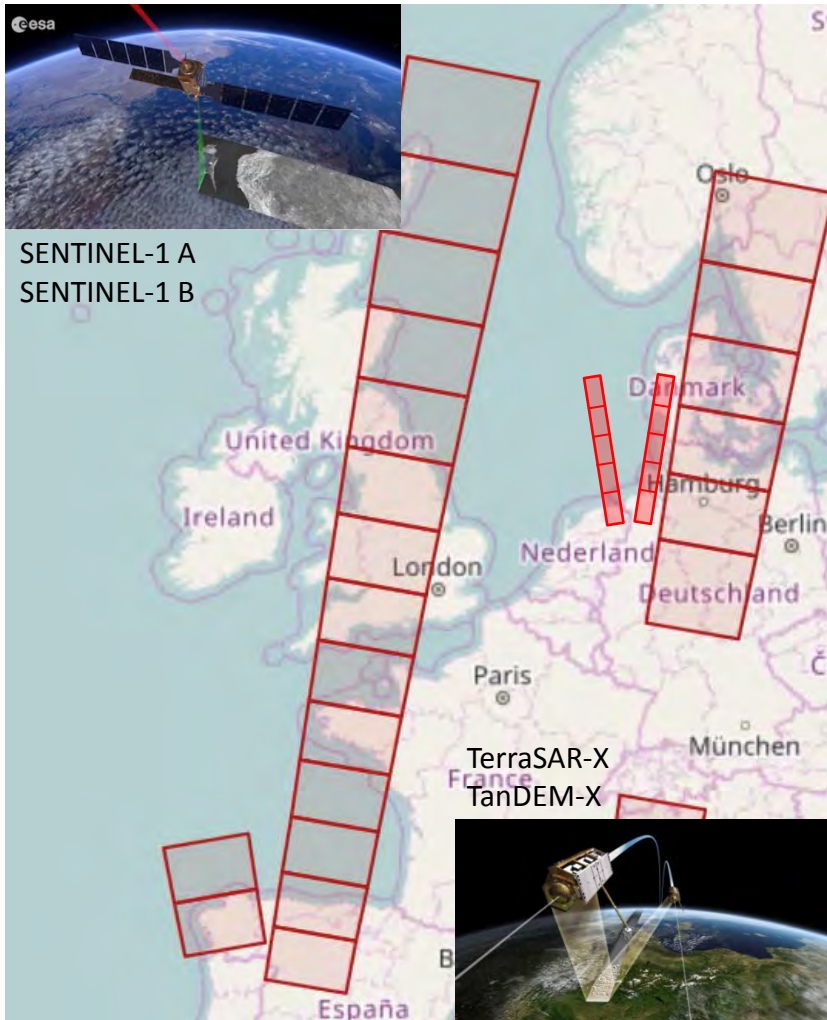
C2-PO

$$\text{Sigma } 0 = 0.586 u_{10} + 35$$

After, Zhang, Perrie et al, 2017

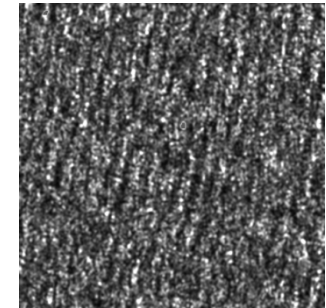
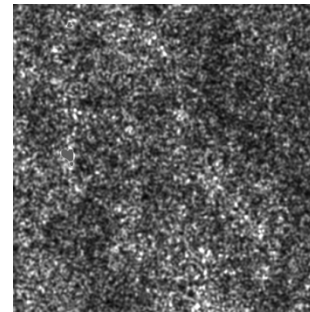
# Different SAR Satellites, Different Radar Frequencies

## more coverage – less resolution



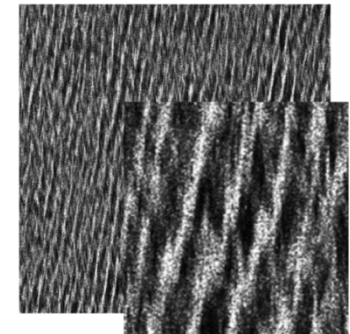
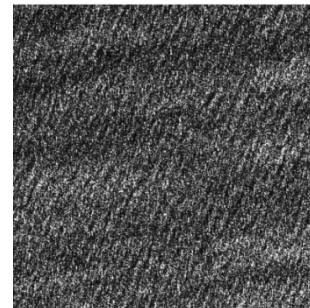
**Differences:** resolution, bands, platform altitude, ground speed

Sentinel-1 A/B IW 250km 10m pixel res.



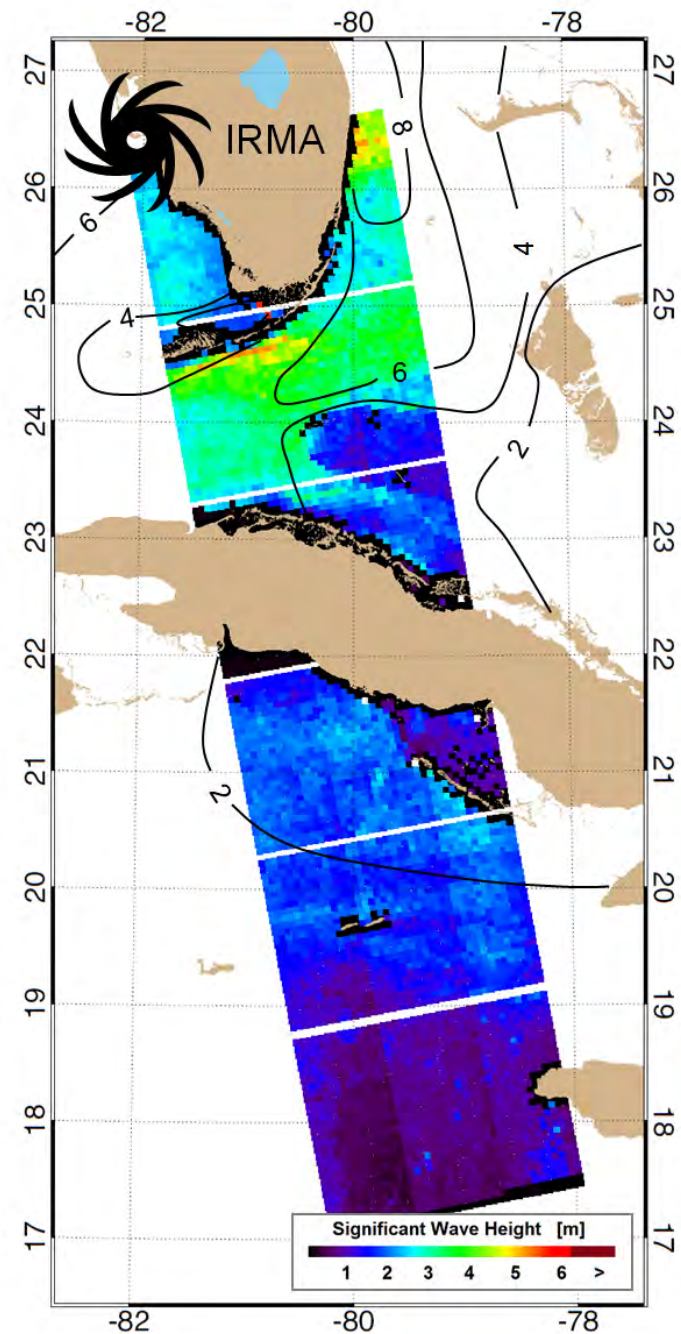
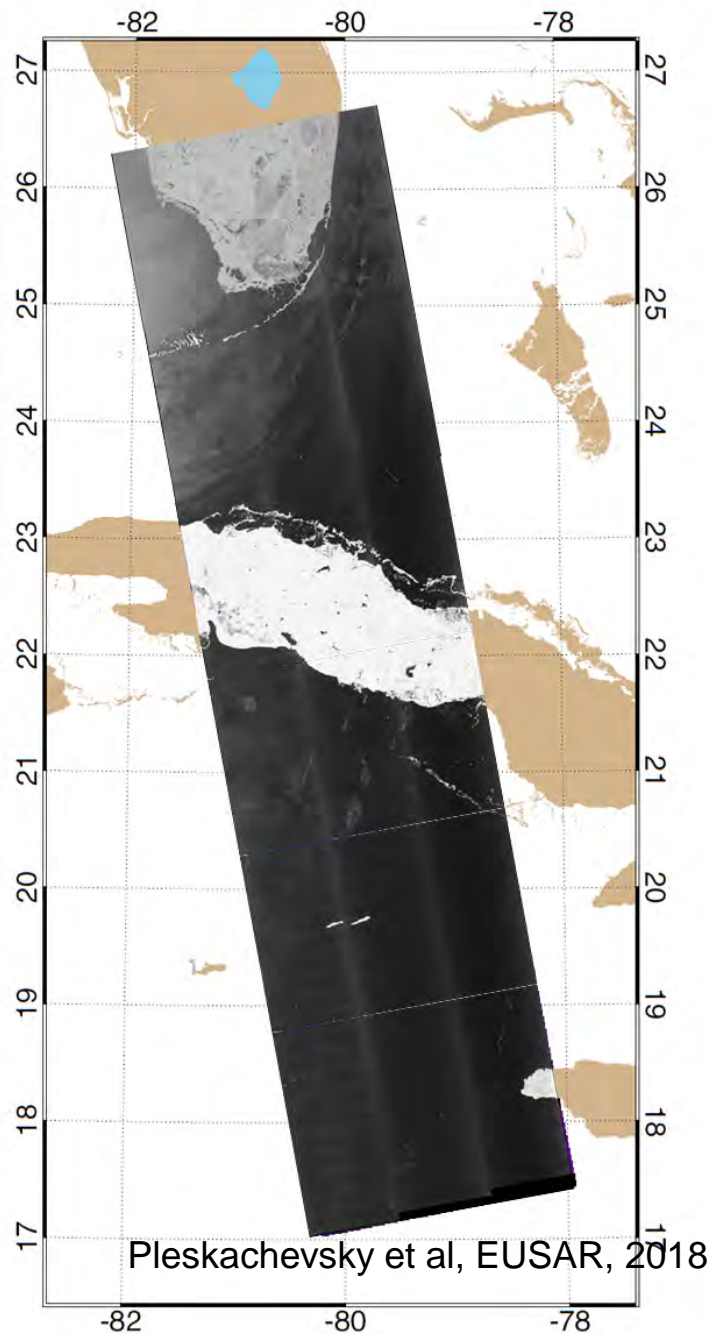
$H_s \sim 1.5\text{m}$   
 $L_p \sim 80\text{m}$

$H_s \sim 3.5\text{m}$   
 $L_p \sim 250\text{m}$



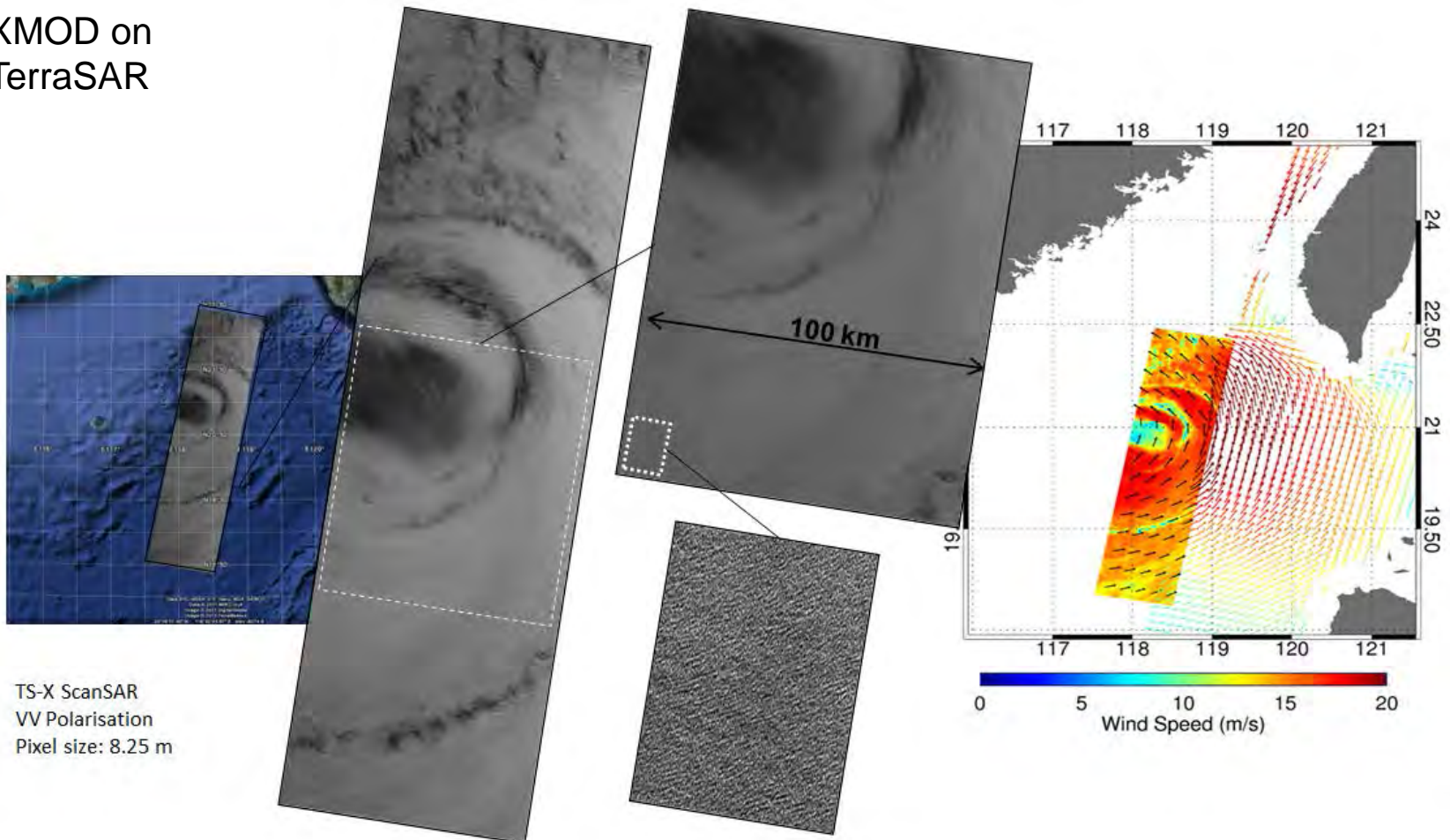
TerraSAR-X StripMap 30km 1.2m pixel res.





# Wind field from SAR data: Typhoon MEGI

XMOD on  
TerraSAR

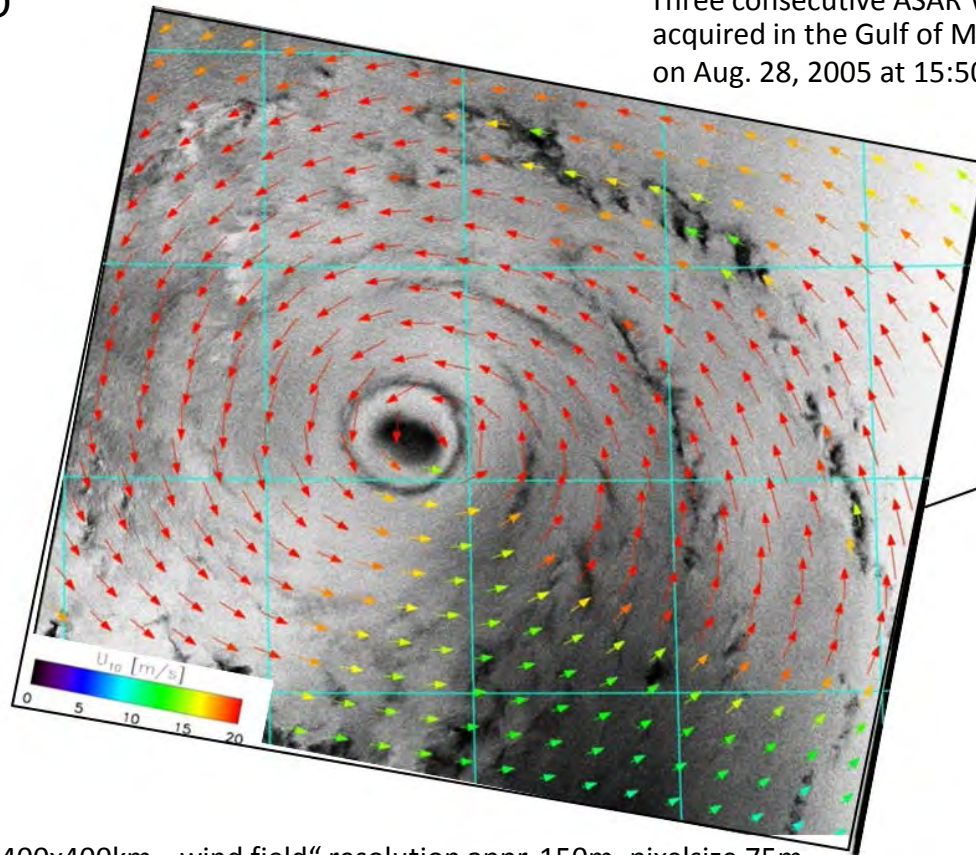


Tropical Cyclone Eye "MEGI" SSW measurement of Typhoon using TSX-SC data (© DLR)



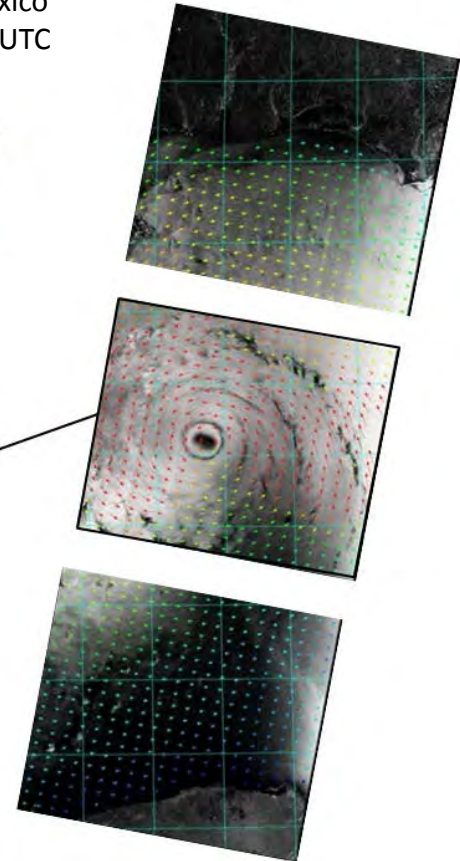
# Wind field from SAR data: Hurricane Katrina

CMOD



Three consecutive ASAR Wide Swath Images  
acquired in the Gulf of Mexico  
on Aug. 28, 2005 at 15:50 UTC

CMOD



400x400km, „wind field“ resolution appr. 150m, pixelsize 75m  
Wind speed saturates at 20 m/sec